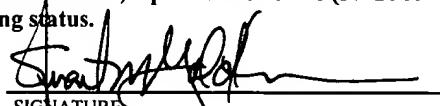
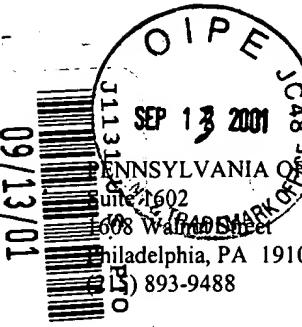


U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 11-2000)		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5 <b>09/936741</b>
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/IB00/00408	14 March 2000	15 March 1999
TITLE OF INVENTION <b>SAFETY TROCAR ASSEMBLY</b>		
APPLICANT(S) FOR DO/EO/US <b>Popov, Sergey</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> Other items or information:</li> </ol>		

U.S. APPLICATION NUMBER Known, see 37 CFR 1.5 <b>09/936741</b>	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																									
<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b></p> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p style="text-align: right;"><b>\$ 690.00</b></p>		<b>CALCULATIONS PTO USE ONLY</b>																									
<p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		<b>\$</b>																									
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;">\$</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>95 - 20 =</td> <td>75</td> <td>x \$18.00</td> <td>\$ 1,350.00</td> </tr> <tr> <td>Independent claims</td> <td>9 - 3 =</td> <td>6</td> <td>x \$80.00</td> <td>\$ 480.00</td> </tr> <tr> <td colspan="2"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td></td> <td>+ \$270.00</td> <td>\$ 270.00</td> </tr> <tr> <td colspan="4" style="text-align: center;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: right;"><b>\$ 2,790.00</b></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	95 - 20 =	75	x \$18.00	\$ 1,350.00	Independent claims	9 - 3 =	6	x \$80.00	\$ 480.00	<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+ \$270.00	\$ 270.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 2,790.00</b>	<b>\$</b>
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<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 2,790.00</b>																							
<p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>		<p style="text-align: right;">+</p> <p style="text-align: right;"><b>\$ 1,395.00</b></p>																									
<p style="text-align: center;"><b>SUBTOTAL =</b></p>		<p style="text-align: right;"><b>\$ 1,395.00</b></p>																									
<p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		<p style="text-align: right;"><b>\$</b></p>																									
<p style="text-align: center;"><b>TOTAL NATIONAL FEE =</b></p>		<p style="text-align: right;"><b>\$ 1,395.00</b></p>																									
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p>		<p style="text-align: right;"><b>\$</b></p>																									
<p style="text-align: center;"><b>TOTAL FEES ENCLOSED =</b></p>		<p style="text-align: right;"><b>\$ 1,395.00</b></p>																									
<p style="margin: 0;"><input type="checkbox"/> <b>Amount to be refunded:</b></p>		<p style="text-align: right;"><b>\$</b></p>																									
<p style="margin: 0;"><input type="checkbox"/> <b>charged:</b></p>		<p style="text-align: right;"><b>\$</b></p>																									
<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$ 1,395.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																											
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																											
<p>SEND ALL CORRESPONDENCE TO:</p> <p style="margin-left: 20px;">Stuart M. Goldstein, Esquire</p> <p style="margin-left: 20px;">Hollstein Keating Cattell Johnson &amp; Goldstein P.C.</p> <p style="margin-left: 20px;">Willow Ridge Executive Office Park</p> <p style="margin-left: 20px;">750 Route 73 South, Suite 301</p> <p style="margin-left: 20px;">Marlton, New Jersey 08053</p>																											
<p style="margin: 0;"> <b>SIGNATURE</b></p> <p style="margin: 0;"><b>NAME</b> _____</p> <p style="margin: 0;"><b>28817</b> _____</p> <p style="margin: 0;"><b>REGISTRATION NUMBER</b> _____</p>																											



TO/ST/60

HOLLSTEIN KEATING  
CATTELL JOHNSON & GOLDSTEIN P.C.

533 P'd PCT/PTO 13 SEP 2001  
09/936741

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E-mail Address  
smg@hkcjg.com

September 13, 2001

OUR FILE NO. 2015.00003

**VIA EXPRESS MAIL NO. EL632159333us**

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**Re: § 35 U.S.C. 371 Filing of International  
Application in the United States - National Stage  
Applicant: Sergey Popov  
Title: Safety Trocar Assembly**

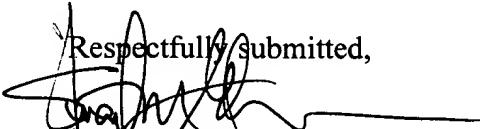
Dear Sir:

We enclose the following in furtherance of the referenced matter:

1. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371;
2. Declaration for Utility or Design Patent Application;
3. Total filing fees of \$1,395.00 in a check made payable to the Commissioner of Patent and Trademarks.
4. Certificate of Mailing.

Please stamp and mail the enclosed self-addressed postcard.

Thank you for your assistance.

Respectfully submitted,  
  
Stuart M. Goldstein  
Registration No. 28817  
Attorney for Applicants

SMG/dml  
Enclosures

09/936741

JC16 Rec'd PCT/PTO SEP 13 2001

**CERTIFICATE OF MAILING**

I certify that the original Declaration for the Patent entitled Safety Trocar Assembly was addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 and sent by Express Mail on September 13, 2001.



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Stuart M. Goldstein, Esquire  
Registration No. 28817

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